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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

ENDRES ET AL.

Serial No.: 10/782,316

Filed: February 19, 2004

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} Art Unit: 2681
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} Examiner: Not Yet Known
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For: JOINT, ADAPTIVE CONTROL OF EQUILIZATION, SYNCHRONIZATION,
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Pasha Barnes
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INFORMATION DISCLOSURE STATEMENT

Sir:

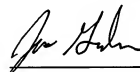
In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§ 1.56, 1.97, and 1.98), the patent publications listed on the attached PTO/SB/08A are submitted for consideration by the Examiner. Copies of all non-US patent references and/or non-patent literature documents are enclosed.

Submission of the references provided in this Information Disclosure is not intended to constitute an admission that any reference referred to herein is prior art for this invention unless specially designated as such. Also, in accordance with 37 C.F.R. § 1.97(g), the filing

of this Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

This Information Disclosure Statement is being filed before the issuance of a first office action on the merits of the application (37 C.F.R. 1.97(b)(3)); therefore, no fee is believed to be due. If a fee is due, the Commissioner is authorized to charge such fee and any additional fees that may be due or credit any overpayment to Deposit Account No. 11-0855.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Jason D. Gardner", is written over a horizontal line.

Jason D. Gardner, Esq.
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Attorney Docket No.: S0465/283640



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 8

Complete if Known

Application Number	10/782,316
Filing Date	February 19, 2004
First Named Inventor	Endres
Art Unit	2681
Examiner Name	Not Yet Assigned
Attorney Docket Number	S0465/283640

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/E.B./		US- RE35,774	04-21-1998	Moura et al.	
		US- 2,138,330	11-29-1938	Thompson	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 8

Complete If Known

Application Number	10/782,316
Filing Date	February 19, 2004
First Named Inventor	Endres
Art Unit	2681
Examiner Name	Not Yet Assigned
Attorney Docket Number	S0465/283640

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No.†	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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/E.B./		US-5,293,633	03-08-1994	Robbins	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

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		Number - Kind Code ² (if known)			
/E.B./		US- 5,321,514	06-14-1994	Martinez	
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/E.B./		US- 5,946,351	08-31-1999	Ariyavisitkul et al.	

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Sheet 4 of 8

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/E.B./		US- 5,956,346	09-21-1999	Levan	
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/E.B./		US- 4,882,725	11-21-1989	Noda et al.	

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/E.B./		US- 6,310,548	10-30-2001	Stephens, Jr. et al.	
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Examiner Initials *	Cite No.¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T²
		Country Code³ - Number⁴ - Kind Code⁵ (if known)				
/E.B./		WO 00/72446	11-30-2000	Duncan et al.		
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Sheet	6	of	8
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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STATEMENT BY APPLICANT**

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Sheet

7

of

8

Complete if Known

Application Number	10/782,316
Filing Date	February 19, 2004
First Named Inventor	Endres
Art Unit	2681
Examiner Name	Not Yet Assigned
Attorney Docket Number	S0465/283640

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/E.B./		Press Release of WavePhone, Inc. entitled "WavePhone and the Canadian Broadcasting Corporation Enter an Agreement to Deliver Data Broadcasting Nationwide in Canada," dated March 29, 1995	
/E.B./		Advertisement in <u>Investor's Business Daily</u> , "Technology Offers TV Broadcasters An Avenue Into Interactive Services", Monday, April 19, 1993	
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/E.B./		Advertisement in <u>The Indianapolis News</u> , WavePhone Stock Is On Roll", dated May 23, 1995	
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/E.B./		Advertisement, <u>The Arizona Republic Business</u> , "Tempe firm, Intel Team Up to Send Data via TV", dated Saturday, May 6, 1995	
/E.B./		Advertisement, <u>Arizona Business Gazette</u> , entitled "Skyway System Rides TV Signals to Distribute Data -- Speed Key to WavePhone Technology", dated July 13, 1995	
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/E.B./		Press Release of WavePhone, entitled "WavePhone Develops Data Casting System for Television Azteca In Mexico (two pages, believed to have been released August 8, 1995)	
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Examiner
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/Emmanuel Bayard/

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		"Digital Data Transmission Within the Video Portion of Television Broadcast Station Transmissions", MM docket No. 66-42.	
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